

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 514162000120	Application No. To Be Assigned
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Che-Kun James Shen	
		Filing Date HEREWITH	Group Art Unit To Be Assigned

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AB							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
SE	AC	Andrews et al., "Erythroid Transcription Factor...", Nature, 362:722-728, 1993
R	AD	Chan et al., "Cloning of Nrfl...", Proc. Natl. Acad. Sci. USA, 90:11371-11375, 1993
R	AE	Chen et al., "Reactivation of Silenced...", Proc. Natl. Acad. Sci. USA, 94:5798-5803, 1997
R	AF	Ellis et al., "A Dominant Chromatin-opening...", The EMBO Journal, 15:562-568, 1996
R	AG	Gourdon et al., "Analysis of a 70 kb...", Nucleic Acids Research, 22:4139-4147, 1994
R	AH	Huang et al., "Modulation of an Enhancer-promoter...", The 11 <sup>th</sup> Conference on Hemoglobin Switching Rosario, Orcas Island, Washington, October 2-6, 1998
R	AI	Jarman et al., "Characterization of the...", Molecular and Cellular Biology, 11: 4679-4689, 1991
R	AJ	Ney et al., "Tandem AP-1-binding...", Genes & Development, 4:993-1006, 1990
R	AK	Palmer et al., "Genetically Modified Skin...", Proc. Natl. Acad. Sci. USA, 88:1330-1334, 1991
R	AL	Pondel et al., "The Developmental Regulation...", Nucleic Acids Research, 20:5655-5660, 1992
R	AM	Robertson et al., "Position-dependent...", Proc. Natl. Acad. Sci. USA, 92:5371-5375, 1995
R	AN	Sabl et al., "Copy Number and...", Genetics, 142:447-458, 1996
R	AO	Sharpe et al., "Analysis of the Human...", Proc. Natl. Acad. Sci. USA, 90:11262-11266, 1993
R	AP	Sharpe et al., "Analysis of the Human...", The EMBO Journal, 11:4565-4572, 1992
R	AQ	Wen et al., "Modulation of an Enhancer-Promoter...", 18 <sup>th</sup> International Congress of Genetics, Beijing, China, August 10-15, 1998.
R	AR	Zhang et al., "Functional Roles of in...", The Journal of Biological Chemistry, 270:8501-8505, 1995
R	AS	Zhang et al., "Transcriptional Activation...", Molecular and Cellular Biology, 13:2298-2308, 1993
R	AT	Zhang et al., "Transcriptional Regulation...", Mole Biol of Hemoglobin Switching, Intercept, Ltd., Andover, MA, pp. 193-202, 1995
R	AU	Anderson WF, Nature, 392:25-30, 1998

Examiner Signature <i>Sumesh</i>	Date Considered 6/5/03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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		Filing Date <b>HEREWITH</b>	Group Art Unit <b>To Be Assigned</b>

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<i>R</i>	AV	Verma et al., Nature, 389:239-242, 1997
<i>QL</i>	AW	Kay et al., PNAS, 94:12744-12746, 1997
<i>QL</i>	AX	Zang et al., Mol. Cell. Bio., 13(4):2298-2308, 1993
<i>QL</i>	AY	Jarman et al., Mol. Cell. Bio., 11(9):4679-4689, 1991
<i>QL</i>	AZ	Miller et al., Biotechniques, 7(9):980-990, 1989

Examiner Signature <i>James Shen</i>	Date Considered <i>6/5/03</i>
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				Applicant <b>Che-Kun James SHEN</b>			
				Filing Date <b>HEREWITH</b>		Group Art Unit <b>TO BE ASSIGNED</b>	
				Mailing Date <b>November 9, 2001</b>			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
<b>OTHER DOCUMENTS</b> <i>(including author, title, Date, Pertinent Pages, Etc.)</i>							
Examiner Initials	Ref. No.	Title					
<i>gk</i>	BA	<b>Wall, R. J., et al., "Making Transgenic Livestock: Genetic Engineering on a Large Scale" 49:113-120 (1992)</b>					
EXAMINER: (examiner) <i>[Signature]</i>				DATE CONSIDERED: <i>6/5/03</i>			
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